# Finding Funding: Spotlight on the National Science Foundation

Gina Polito Jahn, CRA, MSEd, MS
Research Development Associate, WiSys
<a href="mailto:qpjahn@wisys.org">qpjahn@wisys.org</a>





#### **Session Overview**

- Foundation and mission
- Structure and organization
- Find funding & search awards
- Special programs
- Preparing proposals
- Review process and decisions
- Upcoming WiSys events
- Questions and Answers

### Founding of NSF

- Created by Congress in 1950
- Supports all fields of fundamental science and engineering
- FY 2021 budget of \$8.5 billion
- Funds 25% of all federally supported basic research
- FY 2020 funding success rate of 28%





#### Mission of the NSF:

- ✓ To promote the progress of science
- ✓ To advance the national health, prosperity, and welfare
- ✓ To secure the national defense



#### **NSF** by the numbers

- Total funding is approximately \$8 Billion
- 93% of the budget directly supports funding
- Receive 50,000 proposals a year
- Award 12,000 proposals
- Support 2000 institutions
- Support 350,000 researchers
- Fund research in all Science and Engineering disciplines
- Support STEM and education workforce
- Over 200 Nobel Prize winners



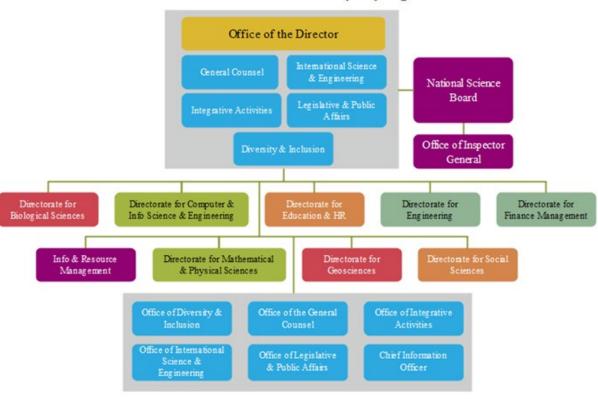


### **NSF** organization

7 directorates and each directorate is divided into divisions and core programs

- Biological Sciences
- Computer and Information Science
- Engineering
- Education and Human Resources
- Mathematical and Physical Sciences
- Geosciences
- Social, Behavioral and Economic Sciences

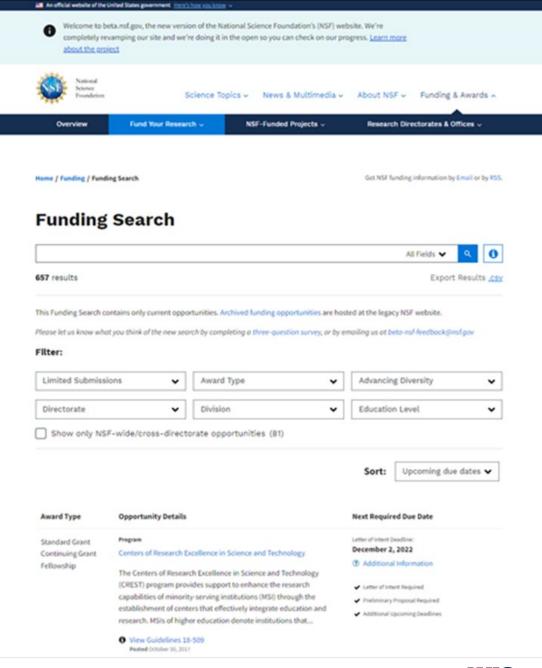
#### U.S. National Science Foundation (NSF) Org Chart





### Search for funding

- Search for funding on NSF website https://beta.nsf.gov/funding/oppor tunities:
  - Filter by Directorate
  - Filter by Division
  - Filter for Undergraduate Research
- You can also use grants.gov to find NSF funding opps as well as other federal agencies





### Search awards

- https://www.nsf.gov/awardsear ch/advancedSearch.jsp
- You can type in keywords and find awards and the program that funded them
- Find a match with a program
- Plan your budget

#### Overview of Award Search Features

Principal Investigator First Name				Organization				
Principal Investigator				① State	Select one			
Last Name Include Co-Principal				① Zip Code				
Investigator in name search			① Country	Select one 🗸				
			Program	Information				
NSF Organization	Select one			HINT: The "Program" bor reference names and cod		rogram el	lement and	progra
Element Code				Program	•			
Reference Code	O Any	• All						
	-							
	O Any	● AII		Program Officer				
			Additional	Information				
] Keyword				HINT: Data prior to 1976 may be less complete.				
HINT: The Keyword field se	arches on the title a	nd abstract o	only.	Active Awards	Expired	Awards		
Search Award Title Only				Original Award Date			То	-1
Award Number				Select one	<b>~</b>	(40)	1	
O Americ Humber	Select one		~	Start Date	From	<b>3</b>	То	
	From	To		Select one	<u> </u>	(40)		
				① End Date	From	(E)	То	-1
Award Amount	Select one		~	Select one	<b>~</b>	(2)		
			~					
Award Instrument	Select one							

### **Special Programs**

- Facilitating Research at Primarily Undergraduate Institutions (RUI) and Research Opportunity Awards (ROA)
- Research Experiences for Undergraduates (REU)
- Major Research Instrumentation Program (MRI)



Major Research Instrumentation (MRI) Program











### **RUI and ROA**

- Subject to individual program deadlines
- Individual or collaborative research project
- Involve faculty and students at own or other institutions
- May be a request involving shared research instrumentation
- Impact statement up to 5 pages





- Sites and supplements
- Supplements typically provide support for 1-2 undergraduate students on a new or ongoing NSF-funded project
- Sites a significant fraction of the students should come from outside the host intstitution





### MRI

- Deadline in January
- Acquisition or Development
- \$100K \$4M but PUI's can request under \$100K
- No cost-share required for PUI
- Limit on proposals per institution
- Additional required documents

Funding Opportunity

Major Research Instrumentation (MRI) Program





# **Contact Program Officers**

- It is part of the PO job to talk to you throughout the proposal creation, submission and review process
- Be polite, prepared and patient
- Initiate contact by email
- Make a specific request
  - Reply by email
  - Chat by phone
  - In-person meeting
- Nudge again by email if you haven't heard back in about a week or so

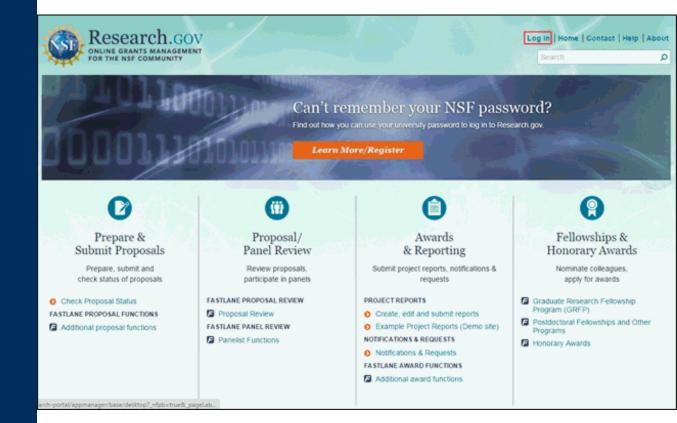


# Proposal Preparation

- Fastlane is being phased out
- Use Research.gov
- Avoid grants.gov
- Collaborative proposals



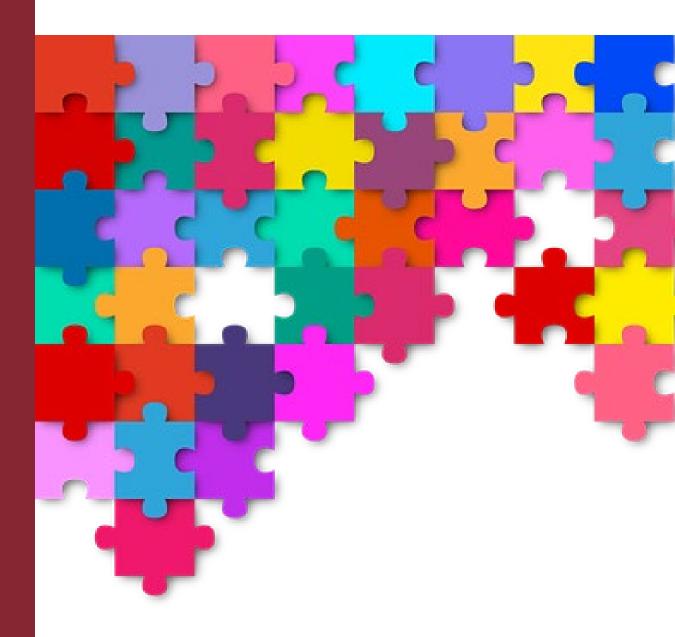






## NSF Proposal Components

- Project Description
- Summary
- References
- Biographical Sketch
- Current & Pending
- Collaborators & Other Affiliations
- Data Management Plan
- Facilities, Equipment & Other Resources
- Budget
- Budget Justification
- Letters of collaboration, if applicable





### Project Description & References

- Usually 15 pages
- May be special requirements in the RFP
- Must include a section on Broader Impacts
- References are a separate attachment



### **Broader Impacts**

Teaching, training, and learning (undergrads + grad students)

Broaden participation of underrepresented groups

Build or enhance partnerships (internationally, or with other agencies)

Broad dissemination to enhance scientific + technological understanding

Enhance infrastructure (labs, equipment, + work in developing countries)

Local impacts (policies @ state + local level)







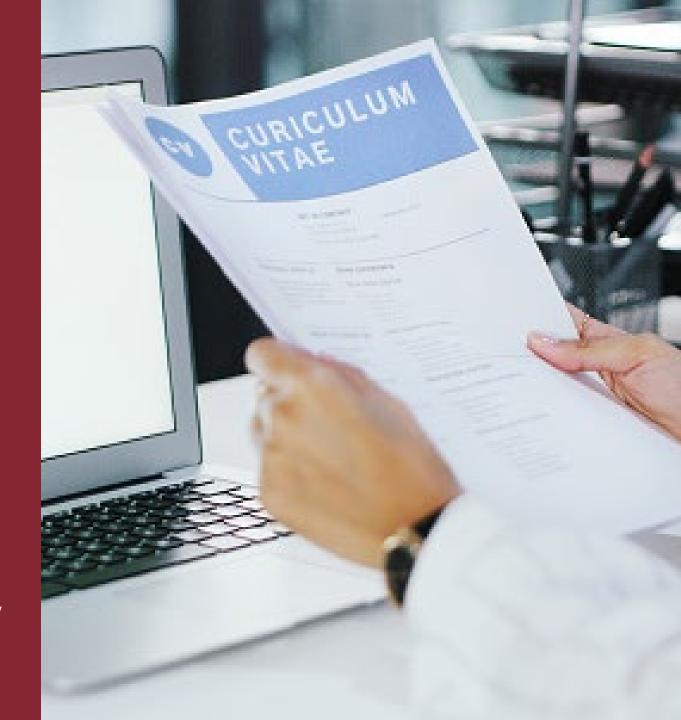
### Summary

- Maximum of one page in length
- Follow specific directions in the RFP
- Overview description of activity and objectives and methods to be used
- Intellectual Merit describe the potential of the activity to advance knowledge
- Broader Impacts describe the potential of the activity to benefit society and contribute to the achievement of societal outcomes

### Senior Personnel Documents

PI, CoPIs and all Senior Personnel:

- Biosketch
  - Limited to 3 pages
  - Professional preparation, appointments, products, and synergistic activities
- Current and Pending
  - To assess the capacity of the individual to carry out the research
  - To assess potential overlap/duplication
- Collaborators & Other Affiliations
  - To manage reviewer selection
  - Use provided Excel template
- SciENcv: Science Experts Network Curriculum Vitae @ https://www.ncbi.nlm.nih.gov/sciencv



### Budget/Budget Justification

- The budget must fit the project
- Only request allowable expenses
  - Vary with solicitations
- Research equipment prices
- Consult with ORSP
  - Fringe benefit rates
  - Indirect cost rates
- Clearly justify each expense
- Research "typical" budgets for this division at https://www.nsf.gov/awardsearch/ advancedSearch.jsp





### Data Management Plan

- Link to requirements at various divisions:
- Dissemination and Sharing of Research Results | NSF -National Science Foundation
- Plans for data management and sharing of products of research
- Limited to 2 pages



### **Facilities**

- To assess the adequacy of the resources available to perform the effort proposed.
- Describe only those resources that are directly applicable
- Physical and personnel resources
- Describe resources in narrative form and do not include quantifiable information







### Letters of Collaboration

- No letters of support
- Letter of collaboration template
- Reach out early for letters





### **Review Criteria**

#### Intellectual Merit:

- Potential for advancing knowledge in/across fields
- Qualifications of the investigators
- Creativity and originality
- Organization of the ideas/experiments
- Access to resources
- Potentially transformative research?

### **Broader Impacts:**

- Promoting teaching, training, and education
- Enhancement of infrastructure for research and education
- Community resources and outreach
- Participation of underrepresented groups
- Benefits to society



### What makes a proposal competitive?

- Potential for high impact
- New, original ideas
- Focused, feasible project plan
- Articulated knowledge of subject area, published relevant work
- Experience in essential methods or approaches, and/or collaborator expertise
- Sound scientific rationale
- Realistic amount of work; sufficient detail; critical approach

### **Best Practices**

- Start with a compelling introduction
- Convey the significance of your project
- Do your samples/data come from a place in the world? Include a map! Use figures wisely.
- Lay out a clear work plan and timeline
- Explain the role(s) for each participant
- Come up with a realistic budget

### **Common Mistakes**

- Work is too close to what has been done before i.e., an incremental advance
- Techniques and methodology are not cutting edge and/or not explained in sufficient detail
- Project has too large a scope or is too narrowly focused to be exciting or relevant
- Research plan will not actually achieve the stated goals of the project

### Funding decisions

- Plan on a start date of at least 6 months after submission
- Deadline vs. no deadline





### What to do if you're declined?

- It happens to everyone, except those who don't submit
- Stay calm, and don't get discouraged.
   Breathe deeply and read the reviews more than once
- Identify common themes across different reviews (weaknesses AND strengths)
- Don't fixate on minutia and cranky comments
- Ask a friend/colleague to read the reviews objectively





### What if you're awarded?

- Celebrate!
- Read the reviews and/or panel summary: they still likely had useful criticisms and advice
- Credit the award and NSF when you publish or present
- Read NSF's guide for awardees and write your annual reports on time
- Develop good rapport with your Program Director and keep them updated
- Be a good mentor to the students and colleagues you support



### Campus ORSP

- ORSP submits the proposal on behalf of the university
- Experts in getting proposal out the door
- Services
  - RFP translation
  - Budgets and budget justifications
  - Proposal component templates
  - Compliance review



#### **Be an NSF Reviewer**

- Gain firsthand knowledge of the peer review process
- Learn common proposal problems and discover strategies to write strong proposals
- Meet colleagues and program officers in your field



#### **NSF Resource Center**

- nsfpolicyoutreach.com/resource -center/
- Recordings of NSF presentations from previous grant conferences







#### WiSys Webinar Topics Spring 2022

Collaborative Grantseeking

Funding for New and EarlyStage Investigators

10 March 2022

14 April 2022

6 April 2022

Finding Funding for Your Scholarship and Creative Endeavors

**20 April 2022** 

Lunch & Learn – NSF topics

Visit <a href="https://www.wisys.org/grants/webinars">https://www.wisys.org/grants/webinars</a> to register

#### WiSys Webinar Topics Available On-Demand

Budget Building Fundamentals Improving your Odds for Success BEFORE the Writing Begins

Planning for Grants
Success

Getting Started! An Introduction to PIVOT/Grants Resource Center

Accelerate and Diversify Your Funding Search Results with Pivot-RP Exploring Administrator Tools for Maximizing Benefits from Pivot-RP Functionality

Finding Funding: Spotlight on the NIH Academic Research Enhancement Award Finding Funding: Spotlight on the National Science Foundation Major Research Instrumentation Program Finding Funding: Spotlight on the U.S. Department of Agriculture, National Institute of Food and Agriculture

https://www.wisys.org/grants/webinars

### Questions?

